

CUSTOMER NO. 41230

PATENT APPLICATION
Docket No. 47171-381USPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
John E. Jones et al.

Application No.: 10/669787

Group Art Unit: N/A

Filed: September 24, 2003

Examiner: Not Yet Assigned

For: FINANCIAL DOCUMENT PROCESSING SYSTEM

TRANSMITTAL LETTER

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 14, 2005

Signature: 

(Margo Barbarash)

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. 3rd Information Disclosure Statement;
2. Form PTO/SB/08 - 3rd IDS (Citation) by Applicant (3 References);
3. Reference C1 (video tape); and
4. Acknowledgment postcard.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 47171-00381USPT.

Dated: October 14, 2005

Respectfully submitted,

By 

Andre M. Szuwalski

Registration No.: 35,701

JENKENS & GILCHRIST, A PROFESSIONAL
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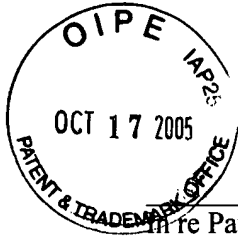
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Attorneys For Applicant

CUSTOMER NO. 41230

Docket No.: 47171-00381USPT
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
John E. Jones et al.

Application No.: 10/669787

Confirmation No.: 6413

Filed: September 24, 2003

Art Unit: N/A

For: FINANCIAL DOCUMENT PROCESSING
SYSTEM

Examiner: Not Yet Assigned

3RD INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Dated: October 14, 2005 Signature: _____
(Margo Barbarash)

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of only those references listed below is attached:

Other Documents
Vector Tech, Document Imaging; Video Tape Product Demonstration, date unknown.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing

of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 47171-00381USPT.

Dated: October 14, 2005

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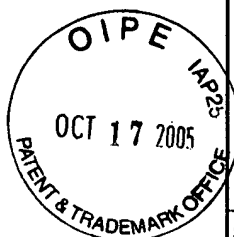
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Attorneys For Applicant

CUSTOMER NO. 41230

ALTERNATIVE TO PTO/SB/08a/b (07-05)



Substitute for form 1449/PTO		Complete if Known			
3RD INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/669787-Conf. #6413		
		Filing Date	September 24, 2003		
		First Named Inventor	John E. Jones		
		Art Unit	N/A		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	47171-00381USPT

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code ² (if known)		
	A1*	US-5,607,040	03-04-1997	Mathurin, Sr.
	A2*	US-20040131230-A1	07-08-2004	Paraskevagos

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document		T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1**	Vector Tech, Document Imaging; Video Tape Product Demonstration, date unknown.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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